## Universal algebra and lattice theory Week 3 The distributive and modular laws

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- Definition of distributive and modular lattices
- Relationship between distributivity and modularity

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- Dualizing distributivity
- Two very special nondistributive lattices
- Dedekind's result on modularity
- Birkhoff's result on distributivity
- An aside about graph theory

Today we introduce two of the main algebraic properties of interest for lattices.

Definition (Distributive lattice)

We say that a lattice  ${\bf L}$  is distributive when  ${\bf L}$  satisfies

 $x \wedge (y \lor z) \approx (x \wedge y) \lor (x \wedge z).$ 

We actually always have

$$x \wedge (y \vee z) \geq (x \wedge y) \vee (x \wedge z)$$

so if we want to check that a lattice is distributive it suffices to show that

$$x \land (y \lor z) \le (x \land y) \lor (x \land z).$$

Today we introduce two of the main algebraic properties of interest for lattices.

Definition (Modular lattice)

We say that a lattice  $\mathbf{L}$  is *modular* when for all  $y \in L$  we have that

 $z \leq x$  implies  $x \wedge (y \vee z) = (x \wedge y) \vee z$ .

We actually always have that  $z \leq x$  implies

$$x \land (y \lor z) \ge (x \land y) \lor z$$

so if we want to check that a lattice is modular it suffices to show that  $z \leq x$  implies

$$x \wedge (y \lor z) \leq (x \wedge y) \lor z.$$

#### Proposition

Every distributive lattice is modular.

#### Proof.

Suppose that  ${\bf L}$  is a lattice with  $x,y,z\in L$  such that  $z\leq x.$  We have that

$$x \land (y \lor z) = (x \land y) \lor (x \land z)$$

by distributivity. Since  $z \leq x$  we have that  $x \wedge z = z$  so

$$x \wedge (y \vee z) = (x \wedge y) \vee z,$$

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as desired.

# A distributive lattice is one for which $\land$ distributes over $\lor$ . What can we say about lattices for which $\lor$ distributes over $\land$ ?



## Dualizing distributivity

#### Proposition

#### A lattice $\mathbf{L}$ is distributive if and only if $\mathbf{L}$ satisfies

$$x \lor (y \land z) \approx (x \lor y) \land (x \lor z).$$

#### Proof.

Suppose that L is distributive. Given  $x,y,z\in L$  define  $a\coloneqq x\vee y.$  Observe that

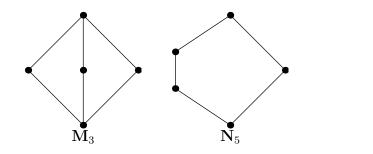
$$\begin{aligned} (x \lor y) \land (x \lor z) &= a \land (x \lor z) = (a \land x) \lor (a \land z) \\ &= x \lor ((x \lor y) \land z) = x \lor (x \land z) \lor (y \land z) \\ &= x \lor (y \land z). \end{aligned}$$

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The argument in the other direction is identical.

## Two very special nondistributive lattices

- You should examine all nonempty lattices of order at most 4.
- There are 5 such lattices, up to isomorphism.
- They are all distributive.
- There are exactly two nondistributive lattices of order 5, which we call M<sub>3</sub> and N<sub>5</sub>.
- We have that  $\mathbf{M}_3$  is modular and  $\mathbf{N}_5$  is not.



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#### Theorem (Dedekind (1900))

Take L to be a lattice. The following are equivalent.

- (a) L is modular
- (b) L satisfies  $((x \land z) \lor y) \land z \approx (x \land z) \lor (y \land z)$

(c) L has no sublattice isomorphic to  $N_5$ 

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- We show that (a) implies (b).
- Take  $x, y, z \in L$  and define  $c \coloneqq x \wedge z$ .
- Since  $c \le z$  we have by modularity that  $z \land (y \lor c) = (z \land y) \lor c$ .
- This shows that  $z \land (y \lor (x \land z)) = (z \land y) \lor (x \land z).$

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- (c)  $\mathbf{L}$  has no sublattice isomorphic to  $\mathbf{N}_5$ 
  - We show that (b) implies (c) by proving the contrapositive.
  - Suppose that L has a sublattice isomorphic to  $N_5$  labeled so that 0 < x < z < 1 and 0 < y < 1.

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• One can verify that this violates the identity in (b).

## Dedekind's result on modularity

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  - We show that (c) implies (a) by proving the contrapositive.
  - Suppose that L is not modular. We must show that L has a sublattice isomorphic to N<sub>5</sub>.
  - By assumption there are elements  $a, b, c \in L$  with  $a \ge c$  so that  $a \land (b \lor c) > (a \land b) \lor c$ .
  - The desired sublattice has

$$a \wedge b < c \lor (a \wedge b) < a \land (b \lor c) < b \lor c$$

and  $a \wedge b < b \vee c$ .

## Dedekind's result on modularity

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(c)  $\mathbf{L}$  has no sublattice isomorphic to  $\mathbf{N}_5$ 

- Even though modularity was not originally defined by an identity, part (b) shows that it could have been.
- This means that homomorphic images, sublattices, and products of modular lattices are all modular, as well.
- Since L contains a copy of N<sub>5</sub> if and only if  $L^{\partial}$  does, we have that L is modular if and only if  $L^{\partial}$  is.
- We refrain from giving an example here, but not all identities which hold in L necessarily hold in L<sup>∂</sup>, so modularity is special in this regard.

#### Theorem (Birkhoff)

Take L to be a lattice. The following are equivalent.

(a) L is distributive

### (b) L satisfies $(x \land y) \lor (x \land z) \lor (y \land z) \approx (x \lor y) \land (x \lor z) \land (y \lor z)$

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(c) L has no sublattice isomorphic to  $N_5$  or  $M_3$ 

- The left- and right-hand-sides of the identity in (b) are particularly noteworthy.
- Define  $m_1(x, y, z) \coloneqq (x \land y) \lor (x \land z) \lor (y \land z)$  and  $m_2(x, y, z) \coloneqq (x \lor y) \land (x \lor z) \land (y \lor z)$ .
- For  $i \in \{1,2\}$  we have in any lattice that

 $m_i(x, x, y) \approx m_i(x, y, x) \approx m_i(y, x, x) \approx x.$ 

A term like m<sub>1</sub> or m<sub>2</sub> which satisfies the above identities is called a majority term.

## An aside about graph theory

#### Theorem (Kuratowski)

A finite graph is planar if and only if it does not contain a subdivision of either  $K_5$  or  $K_{3,3}$  as a subgraph.

