

# A multi-linear geometric estimate

Charlotte Aten (joint work with Alex Iosevich)

University of Rochester

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# Introduction

- Erdős and Szemerédi made the following significant conjecture in additive number theory: If  $A$  is a finite set of integers with  $|A| = n$  then either  $A + A$  or  $A \cdot A$  must have size at least  $C_\epsilon n^{2-\epsilon}$  for any  $\epsilon > 0$ .
- The sum-product conjecture naturally leads one to the consideration of the size of sets of the form  $A \cdot A + A \cdot A$ .
- Hart and Iosevich previously showed that if  $E \subset \mathbb{F}_q^d$  with  $|E| > q^{\frac{d+1}{2}}$  then  $\mathbb{F}_q^* \subset \varpi(E^2)$  where  $\varpi$  is any non-degenerate bilinear form.
- This estimate can be used to show that if  $A \subset \mathbb{F}_q^*$  is sufficiently large then

$$F_q^* \subset dA^2 = A \cdot A + \cdots + A \cdot A.$$

- We generalize this estimate to the case that  $\varpi$  is a multi-linear form.

# Talk outline

- Preliminaries on forms
- The main result
- Applications when  $n = 3$  and  $d = 2$
- Why  $n = 3$  and  $d = 2$ ?
- Proof sketch

# Preliminaries on forms

- Given two  $\mathbb{F}_q$ -vector spaces  $V$  and  $W$  let  $\boxtimes: V \times W \rightarrow V \otimes W$  denote the canonical map taking  $(v, w)$  to  $v \otimes w$ .
- Note that when  $E$  is a subspace of  $V$  both  $E^{\boxtimes n}$  and  $E^{\otimes n}$  are defined and are in general distinct.
- An  $n$ -linear form is a linear transformation  $\varpi: V^{\otimes n} \rightarrow \mathbb{F}$  where  $V$  is an  $\mathbb{F}$ -vector space.
- For example, the usual dot product over  $\mathbb{F}_q^d$  is a bilinear ( $n = 2$ ) form.

# Preliminaries on forms

## Definition (Space of multi-linear forms)

Given a vector space  $V$  over a field  $\mathbb{F}$  and some  $n \in \mathbb{N}$  we denote by  $\text{Form}(V, n)$  the dual  $\mathbb{F}$ -vector space to  $V^{\otimes n}$ . That is,  $\text{Form}(V, n) := \text{Hom}(V^{\otimes n}, \mathbb{F})$ .

# Preliminaries on forms

## Definition (Level set)

Given an  $\mathbb{F}$ -vector space  $V$ , a form  $\varpi \in \text{Form}(V, n)$ ,  $E \subset V$ ,  $t \in \mathbb{F}$  we define the  $t$ -level set of  $\varpi$  (with respect to  $E$ ) to be

$$L_t := \left\{ (z, w) \in E^{\boxtimes(n-1)} \times E \mid \varpi(z, w) = t \right\}$$

and we define  $\nu(t) := |L_t|$ .

# Preliminaries on forms

## Definition (Evaluation map)

Given a vector space  $V$ , some  $n \in \mathbb{N}$ , some  $k \in [n]$ , and subspaces  $A \leq V^{\otimes(n-1)}$  and  $B \leq V$  the  $k^{\text{th}}$  *evaluation map* on  $(A, B)$  is

$$\text{eval}_{k,A,B}: \text{Form}(V, n) \otimes B \rightarrow \text{Hom}(A, \mathbb{F})$$

is given by

$$(\text{eval}_{k,A,B}(\varpi \otimes y))(x_1, \dots, x_{n-1}) := \varpi(x_1, \dots, x_{k-1}, y, x_{k+1}, \dots, x_{n-1}).$$

# Preliminaries on forms

## Definition ((A, B)-non-degenerate form)

Given a form  $\varpi \in \text{Form}(V, n)$  and subspaces  $A \leq V^{\otimes(n-1)}$  and  $B \leq V$  we say that  $\varpi$  is *(A, B)-non-degenerate* in the  $k^{\text{th}}$  coordinate when  $\text{Ker}(\text{eval}_{k,A,B}^{\varpi}) = 0$ .



# Preliminaries on forms

- Let  $V = \mathbb{F}_q^d$ ,  $A = V^{\otimes(n-1)}$ ,  $B = V$ ,  $n = 3$ , and

$$\varpi(x, y, z) = x_1y_1z_1 + x_2y_2z_2 + \cdots + x_dy_dz_d.$$

It is not difficult to see that this form is  $(A, B)$ -non-degenerate.

- If we keep  $B$  the same, change  $A$  to  $W^{\otimes(n-1)}$ , where

$$W = \left\{ x \in \mathbb{F}_q^d \mid x_1 = 0 \right\},$$

and use the same form as above, we get an  $(A, B)$ -degenerate form.

# Preliminaries on forms

## Definition (Projective index)

Given  $E \subset \mathbb{F}_q^d$  we say that  $E$  has *projective index*  $\alpha$  when

$$\frac{|\{(a, w) \in (\mathbb{F}_q^* \setminus \{1\}) \times \mathbb{F}_q^d \mid w, aw \in E\}|}{(q-2)|E|} \geq \alpha.$$

# The main result

## Theorem (A., Iosevich (2021))

Suppose that  $\varpi \in \text{Form}(q, d, n)$  for some  $n \geq 2$ , that  $E \subset \mathbb{F}_q^d$ , and that  $E$  has projective index  $\alpha$ . If there exists an  $r$ -dimensional subspace  $A$  of  $(\mathbb{F}_q^d)^{\otimes(n-1)}$  and a subspace  $B$  of  $\mathbb{F}_q^d$  such that

- 1  $E^{\boxtimes(n-1)} \subset A$ ,
- 2  $E \subset B$
- 3  $\varpi$  is  $(A, B)$ -non-degenerate, and

- 4  $|E| > q^{\frac{r+n-1}{n}} \left(1 - \alpha \left(1 - \frac{2}{q}\right)\right)^{\frac{1}{n}}$

then  $\mathbb{F}_q^* \subset \varpi(E^n)$ . This bound is sharp.

# Applications when $n = 3$ and $d = 2$

## Definition (Omphalos)

We say that a set  $E \subset \mathbb{F}_q^2$  is a  $(q, k, \ell)$ -omphalos when

$$E = \bigcup_{h \in H} E_h$$

where  $H$  is a set of  $k$  distinct lines through the origin in  $\mathbb{F}_q^2$  and each  $E_h$  consists of exactly  $\ell$  nonzero points from  $h$ .

# Applications when $n = 3$ and $d = 2$

## Proposition

*Suppose that  $E$  is a  $(q, k, \ell)$ -omphalos and that  $\varpi$  is a non-degenerate ternary form on  $\mathbb{F}_q^2$ . If*

$$k^3 \ell^3 > q^6 - (\ell - 1)q^5$$

*then  $\varpi(E^3) \supset \mathbb{F}_q^*$ .*

# Applications when $n = 3$ and $d = 2$

- Let  $\Gamma$  be a subgroup of  $\mathbb{F}_q^*$  of order  $\frac{q-1}{s}$  and take  $H \subset \mathbb{F}_q^*$  where  $|H| = r$  and if  $h_1, h_2 \in H$  with  $h_1 \neq h_2$  then  $h_1\Gamma \neq h_2\Gamma$ . That is, let  $H$  consist of representatives of  $r$  distinct cosets of  $\Gamma$ .

- Define

$$E := \{ x(1, y) \in \mathbb{F}_q^2 \mid x, y \in H\Gamma \}.$$

- Note that  $\Gamma$  is a  $(q, k, \ell)$ -omphalos where

$$k = \ell = \frac{r(q-1)}{s}.$$

# Applications when $n = 3$ and $d = 2$

- Taking  $E$  from the previous example we have that if

$$(q-1)^6 r^6 + (q-1)s^5 q^5 r - s^6(q^6 + q^5) > 0$$

and  $\varpi$  is a non-degenerate ternary form on  $\mathbb{F}_q^2$  then  $\mathbb{F}_q^* \subset \varpi(E^3)$ .

# Applications when $n = 3$ and $d = 2$

- Consider the set  $E \subset \mathbb{F}_q^2$  from the previous example where  $q = 160001$ ,  $s = 20$ , and  $r = 16$  and take  $\varpi$  to be the ternary dot product.
- Since

$$(q - 1)^6 r^6 + (q - 1)s^5 q^5 r - s^6(q^6 + q^5) > 0$$

in this case and  $\varpi$  is non-degenerate we have that every nonzero member of  $\mathbb{F}_q^*$  may be written as

$$\varpi(h_1\gamma_1(1, h_2\gamma_2), h_3\gamma_3(1, h_4\gamma_4), h_5\gamma_5(1, h_6\gamma_6))$$

where the  $h_i$  are from a fixed set  $H$  consisting of  $r = 16$  coset representatives of the subgroup  $\Gamma$  of  $\mathbb{F}_q^*$  of order  $\frac{q-1}{s} = 8000$  and the  $\gamma_i$  are members of  $\Gamma$ .



# Applications when $n = 3$ and $d = 2$

- Each member of  $\mathbb{F}_q^*$  is of the form

$$h_1 h_3 h_5 \psi_1 (1 + h_2 h_4 h_6 \psi_2)$$

where  $\psi_1$  and  $\psi_2$  are 20<sup>th</sup> powers in  $\mathbb{F}_q$  and the  $h_i$  belong to  $H$ .

- Moreover,

$$A \cdot A \cdot A + A \cdot A \cdot A \cdot A \cdot A \cdot A \supset \mathbb{F}_q^*$$

when  $A = H\Gamma$ .

Why  $n = 3$  and  $d = 2$ ?

- When  $\dim(\text{Span}(E)) = \ell$  we need

$$\ell^{n-1} - n\ell + n < 2 - \log_q(q - \alpha(q - 2)).$$

# Proof sketch

## Theorem (A., Iosevich (2021))

Suppose that  $\varpi \in \text{Form}(q, d, n)$  for some  $n \geq 2$ , that  $E \subset \mathbb{F}_q^d$ , and that  $E$  has projective index  $\alpha$ . If there exists an  $r$ -dimensional subspace  $A$  of  $(\mathbb{F}_q^d)^{\otimes(n-1)}$  and a subspace  $B$  of  $\mathbb{F}_q^d$  such that

- 1  $E^{\boxtimes(n-1)} \subset A$ ,
- 2  $E \subset B$
- 3  $\varpi$  is  $(A, B)$ -non-degenerate, and

- 4  $|E| > q^{\frac{r+n-1}{n}} \left(1 - \alpha \left(1 - \frac{2}{q}\right)\right)^{\frac{1}{n}}$

then  $\mathbb{F}_q^* \subset \varpi(E^n)$ . This bound is sharp.

# Proof sketch

- Write

$$\nu(t) = \sum_{\substack{z \in E^{\boxtimes(n-1)} \\ w \in E}} q^{-1} \sum_{s \in \mathbb{F}_q} \chi(s(\varpi(z, w) - t)).$$

- Thus,

$$\nu(t) = q^{-1} |E^{\boxtimes(n-1)}| |E| + R$$

where

$$R := \sum_{\substack{z \in E^{\boxtimes(n-1)} \\ w \in E}} q^{-1} \sum_{s \in \mathbb{F}_q^*} \chi(s(\varpi(z, w) - t)).$$

# Proof sketch

- View  $R$  as a sum in  $z$  and apply Cauchy-Schwarz.
- We find that  $R^2 \leq U + V$  where

$$U = \left| E^{\boxtimes(n-1)} \right| q^{r-2} \sum_{\substack{s, s' \in \mathbb{F}_q^* \\ w, w' \in \mathbb{F}_q^d \\ sw = s' w'}} \chi(t(s' - s)) E(w) E(w')$$

and

$$V = \left| E^{\boxtimes(n-1)} \right| q^{-2} \sum_{\substack{s, s' \in \mathbb{F}_q^* \\ w, w' \in E \\ sw \neq s' w'}} \chi(t(s' - s)) \sum_{z \in A} \chi(\varpi(z, sw - s' w'))$$

- The  $(A, B)$ -nondegeneracy of  $\varpi$  and orthogonality of  $\chi$  gives  $V = 0$ .

# Proof sketch

- We have  $R^2 \leq U = C + D$  where

$$C := \left| E^{\boxtimes(n-1)} \right| q^{r-2} \sum_{\substack{s, s' \in \mathbb{F}_q^* \\ w, w' \in \mathbb{F}_q^d \\ sw = s'w' \\ s \neq s'}} \chi(t(s' - s)) E(w) E(w')$$

and

$$D := \left| E^{\boxtimes(n-1)} \right| q^{r-2} \sum_{\substack{s, s' \in \mathbb{F}_q^* \\ w, w' \in \mathbb{F}_q^d \\ sw = s'w' \\ s = s'}} \chi(t(s' - s)) E(w) E(w').$$

- Without using the projective index  $\alpha$  we can just note that  $C < 0$ , but in general this is not enough.

# Proof sketch

- Since

$$C \leq - \left| E^{\boxtimes(n-1)} \right| |E| q^{r-1} \alpha \left( 1 - \frac{2}{q} \right)$$

and

$$D = \left| E^{\boxtimes(n-1)} \right| |E| q^{r-1}$$

we have  $\nu(t) > 0$  and the result follows.

# References

- **Derrick Hart and Alex Iosevich.** “Sums and products in finite fields: an integral geometric viewpoint”. In: *Radon transforms, geometry, and wavelets*. Vol. 464. Contemp. Math. Providence, RI: Amer. Math. Soc., 2008, pp. 129–135